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Attorney Docket No. 17810-518 (SCI-18)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Uchida et al.

SERIAL NUMBER: 10/649,234

EXAMINER: Robert Clinton Hayes

FILING DATE: August 27, 2003

ART UNIT: 1649

FOR: ENRICHED CENTRAL NERVOUS SYSTEM STEM CELL AND
PROGENITOR CELL POPULATIONS, AND METHODS FOR
IDENTIFYING, ISOLATING AND ENRICHING FOR SUCH
POPULATIONS

MAIL STOP ISSUE FEE

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April 23, 2008

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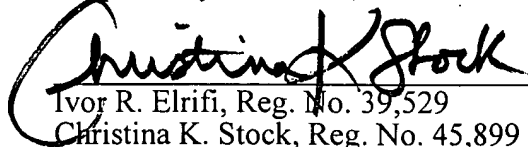
TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Issue Fee Transmittal (1 page);
2. Check No. 25511 in the amount of \$1,050.00 (Issue Fee \$720.00, Publication Fee \$300.00, and Advance Copies of Patent \$30.00);
3. Return Postcard.

Although Applicants believe that no additional fees are due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 17810-518 (SCI-18). A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,


Ivor R. Elrifi, Reg. No. 39,529
Christina K. Stock, Reg. No. 45,899

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Date of Dep: 4/23/08

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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APR 23 2008

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MINTZ, LEVIN, COHN, FERRIS, GLOVSKY
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(Depositor's name)

(Signature)

(Date)

APPLICATION NO.

FILING DATE

FIRST NAMED INVENTOR

ATTORNEY DOCKET NO.

CONFIRMATION NO.

10/649,234

08/27/2003

Nobuko Uchida

17810-518 (SCI-18)

6206

TITLE OF INVENTION: ENRICHED CENTRAL NERVOUS SYSTEM STEM CELL AND PROGENITOR CELL POPULATIONS, AND METHODS
 FOR IDENTIFYING, ISOLATING AND ENRICHING FOR SUCH POPULATIONS

APPLN. TYPE

SMALL ENTITY

ISSUE FEE DUE

PUBLICATION FEE DUE

PREV. PAID ISSUE FEE

TOTAL FEE(S) DUE

DATE DUE

nonprovisional

YES

\$720

\$300

\$0

\$1020

05/05/2008

EXAMINER

ART UNIT

CLASS-SUBCLASS

HAYES, ROBERT CLINTON

1649

435-368000

04/25/2008 HALI44

00000003 10649234

01 FC:2501

02 FC:1504

03 FC:0001

720.00 OP

300.00 OP

50.00 OP

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Mintz, Levin, Cohn, Ferris,
 Glovsky and Popeo, PC

Ivor R. Elrifi

Christina K. Stock

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

StemCells California, Inc.

Palo Alto, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies Ten (10)

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☒ A check is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0311 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Christina K. Stock

Date April 23, 2008

Typed or printed name

Christina K. StockRegistration No. 45,899

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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